

STATE OF VERMONT
OFFICE OF THE COURT ADMINISTRATOR
ANNUAL FINANCIAL DISCLOSURE STATEMENT

The following report is filed pursuant to Rule 3.15 of the Vermont Code of Judicial Conduct 2019 (“Code”). Judicial officers are encouraged to review the relevant provisions of the Code prior to completing this form. Specifically, *see* Rules 1.3 (“Economic Interest”); 2.11, 3.1, 3.11, 3.12, 3.13, 3.14 and 3.15.¹ The Terminology and Application sections may also be instructive.

Failure to timely complete and submit this form may be investigated by the Board as a violation of reporting requirements under Rule 3.15 of the Code.

If you complete this in pdf form, please “flatten” the form before submitting (i.e., save it so that responses cannot be edited).

Financial information for calendar year: _____
(If appointed during the previous calendar year, report from date of commencement of service as judicial officer)

Name of Reporter: _____

Status

Supreme Court Justice

Magistrate

Probate Judge

Superior Judge

Hearing Officer

Assistant Judge

1. Compensation and Income – See Rules 3.12, 3.13, 3.14, 3.15

Reportable Compensation or Income – I certify that I do not have compensation and income that I am required to report pursuant to the Vermont Code of Judicial Conduct, except as indicated below. **You must select “yes” or “no” for each subpart below.** *You are not required to report your compensation for work as a judge for the State of Vermont, including compensation for administrative duties as an assistant judge.*

Yes	No
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(A) Income from Ownership Interest in Business

If “yes,” you must complete Section 2(A); if the business rents real estate, you also must complete Section 5(A) regarding the real estate

(B) Rental Income from Real Property

If “yes,” you must complete Section 5(A)

(C) Gifts/other things of value > \$250 -- See Rules 2.11(D)(2), 3.13, 3.15 (excluding gifts from family members)

¹ Retired judicial officers are not required to complete this form, even if continuing to preside as periodic part-time judge (by special assignment) or full time for portion of reporting year. See Application of Rules of Judicial Conduct, Section (C)(1)(b).

If “yes,” you must complete Section 6

(D) Reimbursements/Waivers > \$250 – See Rule 3.14

If “yes,” you must complete Section 5(C)

(E) Other Extrajudicial Activities – See Rules 3.1, 3.12

If “yes,” you must complete Section 8

2. Ownership Interest in a Business – See Rules 2.11, 3.11, 3.15

You must report any business income. If you have not yet filed your taxes and do not know the exact amount, you should provide a reasonable estimate. If the final amount differs from your estimate, you are required to update and supplement your report accordingly.

If the business rents real estate, also fill out Section 5(A) regarding the business’s rental real estate.

(A) I certify that I do not have an ownership interest in a business except those listed below:

Name of Business	Nature of Business	Role	Compensation or Income Attributable to Reporter

(B) I certify that my spouse or domestic partner does not have an ownership interest in a business except as listed below. *You do not need to disclose the amount of your spouse or domestic partner’s income from a disclosed business interest; you need to disclose information pertinent to the requirements of Rule 2.11.*

Name of Business	Nature of Business

3. Other Business Interests – See Rule 3.11(B)

I certify that I do not serve as an officer, director, manager, general partner, advisor, or employee of any business entity except for the following:

Name of Business	Nature of Business	Is business (1) Closely held by judge/judge's family members or (2) Primarily engaged in investment of financial resources of judge or judge's family (<i>yes or no</i>)

4. Investments – See Rule 2.11(D)(1)

If you have an investment over \$5,000 in any entity appearing as a party in a suit before you, you agree by signing this form that you will disclose this fact to all parties at the beginning of the suit or whenever such investment occurs. You are not required to disclose the value of the investment.

Initial: _____

5. Other Reportable Compensation/Income

Complete the corresponding section to any “yes” response to Question 1.

(A) Rental Real Estate Interest (held solely by yourself or co-owned; and held individually or by a business entity in which you hold an ownership interest) – See Rule 3.15

Complete this section if you checked “yes” to Question 1(B). Attach additional sheets if necessary for complete disclosure.

You must disclose the amount of gross rental income attributable to you regardless of whether (1) you have an active or passive role in the rental business, (2) the rental business has a net gain or loss, or (3) the property is co-owned with other family members.

Ownership in an investment fund, which in turn owns real property, need not be reported but is subject to the disclosure required by 4, above.

If you own rental real property in your own name, list your name as the owner. If you have a business interest in a business that owns rental real property, list the business name as the owner. Your ownership interest in this business also should be disclosed in Question 2(A). Also list the names of any co-owners of real estate.

If the rental property is a commercial rental property, you must list the name of the tenant(s) of the property.

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Location of rental property (town/city, county, state)	Name(s) of Owner (own name, business name, and name(s) of co-owners, as applicable)	Nature of Rental Property (commercial or residential; if commercial, name of tenants)	Rental Income Attributable to Reporter

6. Gifts/Other Things of Value – See Rule 3.13

Complete this section if you checked “yes” to Question 1(C). Attach additional sheets if necessary for complete disclosure. *You do not need to report gifts from family members.*

Source of Gift	Date Received	Amount

7. Reimbursements/Waivers – See Rules 3.14, 3.15

Complete this section if you checked “yes” to Question 1(D). Attach additional sheets if necessary for complete disclosure. *You are required to disclose scholarships that are given to you directly or to the State on your behalf. You are required to disclose reimbursements of expenses, waivers, or partial waivers of fees or charges on behalf of yourself and your spouse or domestic partner. You are not required to disclose expenses related to Judicial College and similar judiciary-sponsored in-state meetings.*

Source	Nature of Event	Amount

8. Compensation or Income from Other Extrajudicial Activities – See Rules 3.1, 3.12

Complete this section if you checked “yes” to Question 1(E), or if you have any other reportable income that has not been disclosed in another section of this form. *You do not*

Source	Nature of Service Rendered	Amount

9. Additional Remarks

I DECLARE THE INFORMATION CONTAINED IN THIS STATEMENT IS TRUE, CORRECT, AND COMPLETE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

Date: _____

Signature of Reporter _____
Ink or electronic signature